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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/656,903	09/05/2003	Eugen Kloos	LZ-78	1549
7590	08/15/2006		EXAMINER	
Friedrich Kueffner Suite 910 317 Madison Avenue New York, NY 10017				KING, BRADLEY T
		ART UNIT	PAPER NUMBER	3683

DATE MAILED: 08/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/656,903	KLOOS ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	Bradley T. King	3683	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on 08 June 2006.
- 2a) This action is FINAL.                    2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) Claim(s) 1 and 5-15 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1 and 5-15 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:
1. Certified copies of the priority documents have been received.
  2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                     | Paper No(s)/Mail Date. _____ .  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ . | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
|  | 6) <input type="checkbox"/> Other: _____ .                                  |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1, 5-10, 12, 13-15 are rejected under 35 U.S.C. 102(b) as being anticipated by Thiel et al (US 5464077).

Thiel et al disclose all the limitations of the instant claims including: a brake disk having a preferred rotational direction; a first brake pad 5 or 7 having a first resulting center of gravity 35 or 37 on a first side of the brake disk 10; a second brake pad 6 having a second resulting center of gravity 36 on a second side of the brake disk; a caliper 11 for transmitting braking forces produced by the second brake pad to the first side of the brake disk, and a clamping device 11 or 12 which has a center axis and is configured to push the first brake pad 5 or 7 against the brake disk, wherein the center axis is perpendicular to the main plane of the brake disk and extends through the first center of gravity 35 or 37, wherein the second center of gravity 36 is offset, both when the brake is at rest and when actuated, from the first center of gravity 35 or 37 by a predetermined distance in the circumferential direction toward the side of the brake disk which trails when the disk is rotating in the preferred rotational direction, so that the centers of gravity are circumferentially unsymmetrical and radially symmetrical.

Regarding claims 6-7, brake pads (5 or 7) and 6 have different configurations and/or weight distributions which determine the location of the centers and therefore are responsible "at least in part" for the offset along with the locations of the pads.

Regarding claim 8, figure 3 shows a wedge shaped cross-section as broadly defined by the claim.

Regarding claims 12-13, elements 19 is a plate shaped bracket and the lower portion can be considered an axle part as broadly defined by the claims.

Regarding claim 14, Thiel et al show a sliding caliper.

Regarding claim 15, the brake is capable of use on commercial vehicles.

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claim 11 is rejected under 35 U.S.C. 103(a) as being unpatentable over Thiel et al (US# 5464077).

Thiel et al disclose all the limitations of the instant claims with exception to the disclosure of the opening having a parallelogram contour. It would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize a parallelogram shape for the opening 2 or 3 or 4 (or 2-4 together) as an obvious design

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variant, thereby providing a visually appealing structure and/or improving access to the pads. Also note *In re Dailey*, 357 F.2d 669, 149 USPQ 47 (CCPA 1966) regarding the non-obviousness of changes in shape.

### ***Response to Arguments***

Applicant's arguments filed 6/08/2006 have been fully considered but they are not persuasive.

It is maintained that Thiel et al show a first pad 5 and a second pad 6 having an offset center of gravity as specified by the claim language. The claim language fails recite any limitations that require both pads 5 and 7 of Thiel to be considered for the recited first resulting center of gravity.

### ***Conclusion***

**THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

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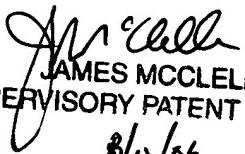
the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Bradley T. King whose telephone number is (571) 272-7117. The examiner can normally be reached on 11:00-7:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James McClellan can be reached on (571) 272-6786. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

BTK

  
JAMES MCCLELLAN  
SUPERVISORY PATENT EXAMINER  
8/15/06